

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: BRIDGES et al.

Application No.: 09/723,694

Filing Date: November 28, 2000

For: REDUCTION OF FINANCIAL  
INSTRUMENT VOLATILITY

) Group Art Unit: 3624  
)  
) Examiner: WEISBERGER, RICHARD C.  
)  
) **2<sup>nd</sup> SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT**  
)  
) Attorney Docket No.: G08.151/U  
)  
) **PTO Customer Number 28062**  
) Buckley, Maschoff & Talwalkar LLC  
) Five Elm Street  
) New Canaan, CT 06840  
)

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 28, 2005.

Dated: July 28, 2005

By: 

Edith Martin

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b);  
(within 3 months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☒ \$180.00 fee under 37 CFR 17(p); or  
(after 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Statement under 37 CFR 1.97(e),
  - ☐ Petition under 37 CFR 1.97(d)(2)(ii), and
  - ☐ \$ \_ petition fee under 37 CFR 17(i).
- (after 37 CFR 1.97(c) time period, but before payment of issue fee)

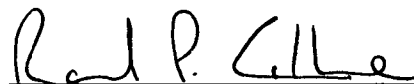
Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

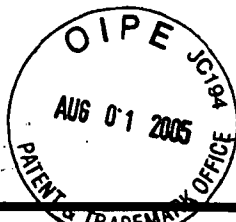
This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

Respectfully submitted,

July 28, 2005  
Date



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Substitute for form 1449A/PTO

## 2<sup>nd</sup> SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

### Complete if Known

Application Number	09/723,694
Filing Date	November 28, 2000
First Named Inventor	BRIDGES Tim
Group Art Unit	3624
Examiner Name	Weisberger, Richard C.
Attorney Docket Number	G08.151/U

Sheet 1 of 1

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A	Financial Accounting Standards Board, "Summary of Statement No. 133" – Accounting for Derivative Instruments and Hedging Activities, (Issued 6/98). [Retrieved July 27, 2005] Retrieved from Internet: URL: < <a href="http://www.fasb.org/st/summary/stsum133.shtml">http://www.fasb.org/st/summary/stsum133.shtml</a> > 2pgs.	
	B	Statement of Financial Accounting Standards No. 133, "Accounting for Derivative Instruments and Hedging Activities", June 1998, Financial Accounting Standards Board. 213 pages	

Examiner Signature	/Richard Weisberger/	Date Considered	08/15/2010
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.